

1. ENGAGEMENT TERMS

The terms in this document (the “**Terms**”) and the related letter of engagement set out the basis upon which Hamptonne Law will undertake work for you. If there is any conflict between the Terms and in any letter of engagement that we provide to you, the letter of engagement shall prevail.

These Terms supersede any terms of engagement previously in force.

Where our client consists of more than one person or entity, the liability of those persons or entities is joint and several.

2. DEFINITIONS

“**matter**” means a specific transaction, dispute or issue in relation to which you ask us to provide services whether or not it has been defined in a letter of engagement.

“**services**” means all services we provide to you in relation to the relevant matter.

“**we**”, “**us**”, “**our**”, “**firm**” and “**Hamptonne Law**” mean or refer to a Jersey law practice known as Hamptonne Law, which is operated by James Dickinson as a sole trader, and any successor practice or entity.

“**you**” and “**your**” refer to our client(s).

3. INSTRUCTIONS

We shall be entitled (acting reasonably) to assume that whoever gives us instructions to provide services has actual authority to do so and we shall be entitled to rely on any information provided to us by that person and (acting reasonably) from other sources.

We shall be entitled to correspond with and act on the instructions of any one joint client or their representative, unless otherwise agreed.

It is vital that you provide us with all relevant information and documents to represent you and provide services to you and that all information and documents provided are complete, accurate and up to date, and are supplied as quickly as practicable. Please tell us of any subsequent changes to the information and documents provided as well as about any further information which might be relevant.

4. COMMUNICATIONS

Our normal method of written communication is by e-mail. Please let us know if you prefer to use another form of communication.

Please be aware that e-mail messages and other electronic communications may be delayed, corrupted, intercepted or lost and may contain viruses. We accept no responsibility for any loss or damage arising from these circumstances.

We may record telephone conversations or video calls/meetings and you agree to this.

5. CLIENT DUE DILIGENCE

We carry out due diligence checks on all clients in respect of all matters, including to confirm the identity and addresses of clients and underlying beneficial owners.

We may terminate our client relationship if you do not provide the information that we request within a reasonable time. In these circumstances, you will continue to be liable for our fees and any disbursements incurred before termination and we accept no responsibility or liability arising directly or indirectly as a result of termination.

You may not rely on any advice that we give before we have completed our due diligence checks and any advice given before then is to be regarded as provisional.

If the firm knows or suspects that any party involved in a matter is involved in money laundering or holds the proceeds of crime, the firm may be required by law to make a report to the Jersey Financial Intelligence Unit (the “**FIU**”) and if notification is made, the firm is prohibited from advising the suspected party that it is doing so. These requirements override the firm’s duty of confidentiality to you.

Proceeds of crime are assets or income that have been acquired through some illegal activity, for example drug-trafficking, non-payment of tax or fraudulently obtaining benefits. If a report is made to the FIU, the firm must stop work on the matter until it is authorised by the FIU to proceed.

There may be circumstances in which the firm considers that it is obliged to make a report to the FIU which it later turns out was not required by law. By instructing the firm, you agree that such reports can be made. The firm cannot accept responsibility or liability for any loss, damage or expense (whether direct, consequential or otherwise) arising from any delay or otherwise as a result of making any report to the FIU and ensuring compliance with and discharge of its legal (and statutory) obligations.

6. CONTENTIOUS MATTERS AND COURT PROCEEDINGS

Where court proceedings are issued to which you are party: -

- We owe duties to the court including a fundamental duty not to mislead the court. You will owe a duty at the relevant time to give discovery by filing and serving a list of the documents that are relevant to any fact or matter in issue in the proceedings and which are in your custody, power or control and by permitting inspection of those documents. (“**Documents**” will without limitation include hard copy documents, electronically stored documents, micro-fiches, audio tapes, discs, e-mails (including internal e-mails) capable of being recovered and text messages (whether transmitted via SMS, WhatsApp, Signal or however.) It is, therefore, essential not to dispose of or put beyond your custody, power or control any such documents that may need to be discovered and inspected in due time.
- The proceedings can only be withdrawn by consent or with leave of the court and the court may impose such terms (of withdrawal) as it considers fit, including payment of legal costs of the other party.
- You will be expected to try to settle differences by agreement or by mediation. A failure to properly engage in attempts to resolve the proceedings without the need for a trial or final hearing can affect the amount of legal costs awarded at the end of the proceedings.

On winning the proceedings the court may order the other party (or parties) to the proceedings to pay your legal costs. The amount of these costs is likely to be submitted for assessment, which may take a several months or longer. The outcome of a costs’ assessment is that you will usually only be entitled to recover 60-80% of the costs that you have incurred in relation to those proceedings. On losing the proceedings, the court will usually order that you pay the legal costs and you will be liable for the other party’s (or parties’) legal and other costs, in addition to your own. Whatever the outcome the court has discretion to award legal costs as it thinks fit - the winning party is not always assured of a full or favourable costs order. You may incur third party and other costs that are not recoverable.

Where you bring proceedings you are required to pursue them expeditiously and to meet certain standards and requirements over which we do not exercise control. If these standards and requirements are not met the proceedings may be struck out.

7. PROFESSIONAL UNDERTAKINGS

We will usually require you to confirm your agreement in writing to the terms of any professional undertaking and we may produce a copy of any such written confirmation to any person affected by or concerned with the undertaking.

You agree fully and immediately on demand to indemnify us in respect of all claims, time costs, liabilities and disbursements incurred by us in complying with any professional undertaking.

8. CONFLICTS OF INTEREST

If at any time you become aware of an actual or potential conflict of interest, please raise it with us immediately. Subject to our professional duties, we will always seek to resolve any conflict issues in the most advantageous way to the clients concerned and we may decline or cease to act for you.

Where our professional rules allow, you agree that we may act for another client in circumstances where we hold information which is confidential to you or your affiliates and material to the engagement with that other client. We will not, however, disclose your confidential information to that other client.

9. CONFIDENTIALITY AND DATA PROTECTION

We will keep confidential any information which we acquire about you, your business and affairs, and any personal data about you, your officers, employees, associates, family members (where applicable) and beneficial owners. However, we may disclose this information: -

- if it is already in the public domain;
- if we are authorised to disclose it by you;
- to any other joint client or to anyone who appears to us (acting reasonably) to be your representative;
- to our accountants, bankers, debt collectors, external assessors, business support service providers or other agents or advisers, or for the purposes of our professional indemnity insurance;
- if you or we engage other professional advisers to assist with a matter, and we may disclose any such information to such advisers as we consider appropriate;
- if we consider that we are required to so by law or by a regulatory authority or if we consider that it is appropriate for us to report suspicions of money laundering to the relevant authorities; or
- if we consider that it is necessary to do so to defend any claim against us.

You agree that in these circumstances we may send data to recipients outside the EU notwithstanding that the data protection legislation of the jurisdiction of the recipient may not be equivalent to Jersey data protection legislation. You agree that we may discharge our obligation to grant access to any personal data that we hold in electronic form about you without providing copies of any personal data.

For more information on how your information is used, how we maintain the security of your information and your rights in respect of the information we hold on you, we strongly recommend that you read our privacy notice, a copy of which is available on our website: www.hamptonnellaw.com

You will be responsible for our fees and any disbursements and expenses in dealing with any request from a regulatory authority in connection with the services we have provided to you, including the fees, disbursements (of counsel and other third parties) and expenses involved in identifying relevant

documents, attending interviews or making or defending any application in connection with the validity of the request.

We may from time to time contact you by mail, telephone, or e-mail to provide information that may be of interest to you, including details of the services we offer, newsletters, legal updates and invitations to events. Please let us know if you do not want to receive such information.

We may refer to you, in marketing material, in articles and on our website, as a client of the firm, and to matters on which we have acted for you which we (acting reasonably) consider are not confidential in nature or are in the public domain. Please let us know if you do not agree to this.

10. USE OF DOCUMENTS

We may use any of the documentation, created either by us or by any parties we instruct on your behalf, for research purposes or to form the basis of advice to our clients, provided we do not breach our duty of confidentiality to you. This documentation may be held in hard copy, electronic format and in our know-how database.

11. RETENTION OF DOCUMENTS

We may retain all papers, documents and files relating to a matter until all fees, disbursements and expenses in connection with it have been settled in full.

We may make electronic copies of all documents that we hold as a result of providing services and, save for significant original documents such as agreements, conveyances, leases and testamentary instruments, we may destroy hard copies. After 20 years, we may destroy all such documents, other than significant original documents.

We do not provide safe custody services and we are not liable for any loss, destruction or damage to documents, howsoever caused.

12. DUTY OF CARE AND OTHER ADVISERS

The services provided by us are for your benefit alone and solely for the purpose of the matter to which they relate. They may not be used or relied upon for any other purpose or by third parties. Our duty of care is to you as our client and does not extend to any third party.

We may, on your behalf, instruct, liaise with or co-ordinate advice from other professional advisers, including foreign lawyers. We may require you to engage them directly but if we engage them you agree to indemnify us against any liability for their fees and expenses. We are not responsible for the accuracy or appropriateness of the advice given or the work undertaken by foreign lawyers or other professional advisers.

13. EXCLUSIONS AND LIMITATIONS OF LIABILITY

The firm shall not be liable for any failure or delay in providing any services as a result of circumstances beyond our control (acting reasonably) including, without limitation, cyber-attack, fire, flood, storm, earthquake, wars and civil disturbance.

We shall not be responsible for any failure to provide services on any issue which falls outside the scope of our engagement and shall have no responsibility to notify you of, or the consequences of, any event or change in the law (or its interpretation) which occur after the date on which the services cease to be provided.

We shall not be liable for any indirect loss or damage or any loss of profit, income, production or accruals arising in any circumstances whatsoever, whether in contract, tort, negligence, for breach of statutory duty or otherwise, and howsoever caused.

You or any other person may only have recourse to the assets of the firm in respect of that loss or damage. For the purpose of this clause the assets of the firm include all rights or claims of the firm, its principal, or any partners or any other employee, consultant or agent of the firm pursuant to any professional indemnity insurance or similar insurance held or maintained by Hamptonne Law.

Apart from the firm's assets, you and any such other party will have no recourse to the personal assets of any principal, partner, employee, consultant or agent or their respective personal representatives or any related person. You agree that you will not bring any claim against any employee, consultant or agent of Hamptonne Law. Those employees, consultants or agents assume no personal liability for the provision of services and shall be entitled to rely on the Terms insofar as they limit or exclude their liability to you.

Our aggregate liability for any claim in contract, tort, negligence, for breach of statutory duty or otherwise, for any loss or damage, costs or expenses suffered by you or any other person arising out of or in connection with the services shall, in relation to each matter, be limited to the lowest of:

- the sum specified in the letter of engagement or if no sum is specified;
- the sum of £2 million; or
- that proportion of the total losses, damages, costs or expenses, after taking into account your or any person's contributory negligence and any amount which you or any other person would have been entitled to recover from any other adviser or third party in the absence of any exclusion of liability agreed with such adviser or third party.

Any claim made by you or any other person must be made within three years of the date on which the work giving rise to the claim was performed and that shall be the date when the earliest cause of action in contract, tort, negligence, for breach of statutory duty or otherwise shall be deemed to have accrued in respect of the relevant claim. For these purposes, a claim shall be made when Court or other dispute resolution proceedings are commenced.

14. FEES

Our fees are normally based on the time spent dealing with a matter. Other factors may also be taken into account including, for example, complexity, novelty, value, importance to the client, working outside of normal hours and urgency. We may add an uplift to our hourly rates to take account of these other factors, and to make a charge for the use of our precedents. Our fees will include time spent conducting customer due diligence procedures.

Our hourly rates are set out in the related letter of engagement and may vary according to the level of seniority and expertise of each adviser. Goods and Services Tax ("**GST**") will be added where applicable. These rates are normally reviewed annually but we may alter rates at other times without notifying you of the change to the rates. Full details of rates are available on request.

Although hourly rates are the norm, we aim to be flexible in our approach to charging and may have agreed with you an alternative charging method in the letter of engagement that we have provided.

15. ESTIMATES

While we may from time to time, at your request, provide estimates of fees, disbursements and expenses that we anticipate will be incurred on a matter, the actual fees, disbursements and expenses ultimately invoiced may vary from those estimates. We can indicate current unbilled fees on request.

16. DISBURSEMENTS AND EXPENSES

By instructing us, you are authorising us to incur such disbursements as we consider necessary. However, we will consult you before incurring any significant disbursements.

Disbursements may include the fees of counsel and other professionals and experts, court fees, search fees and stamp duty. In relation to all disbursements, we will charge you only the fee that has been charged to us.

We may charge you a fee: -

- for arranging special bank transactions and special courier or postage services;
- for travelling expenses, accommodation and subsistence, photocopying, document scanning, international telephone calls, video conferencing, printing, couriers, postage, and incoming and outgoing faxes;
- for arranging the collection of client due diligence information from and/or in relation to you, using any third party service providers.

GST will be added to disbursements and expenses where applicable.

17. PAYMENTS ON ACCOUNT

We may require you to make a payment to us on account of fees, disbursements and expenses at any time and on more than one occasion. The receipt of any such payment on account will be a condition of acting, or continuing to act, for you. Our total bill may be higher than the amount you have paid on account. Money paid on account which is not subsequently required for fees, disbursements and expenses will be refunded to you.

18. CLIENT MONEY

We will deal with any money held by us on your behalf in connection with any matter, and pay you any interest on it, in accordance with the Law Society of Jersey's Code of Conduct.

We will not be liable for any loss resulting from the delay, default, omission or insolvency of the account bank, or the failure of any payment system.

If we have suspicion of money laundering or other illegal activity we may refuse to transfer money that we hold on your behalf until the transfer has been sanctioned by the relevant authorities.

You consent to the firm notifying any interest paid to an applicable taxing authority where it is obliged to do so.

19. BILLING AND PAYMENT TERMS

We will usually bill you in respect of fees, disbursements and expenses monthly and on completion of each matter. There may be a delay in invoicing disbursements incurred on your behalf pending our receipt of the relevant invoices from suppliers or the recording of expenses in our records and our bills are not a final bill in relation to disbursements and expenses.

Our bills are due for payment on receipt without any deduction, set-off or counterclaim. We may suspend or terminate the provision of further services until payment is received. If a bill remains unpaid for 30 days after the date of the invoice we may charge interest at a monthly rate of 1.5% until payment is made.

If we agree with you that any fees or disbursements (and any GST), which would otherwise be payable by you, are to be paid by another person, you will nevertheless remain liable for them if the other person does not pay them within a reasonable time.

20. TERMINATION

You or we may terminate our engagement at any time (including before we attend any court, arbitral or mediation proceedings or before we complete our work in relation to a transaction) by giving written notice to the other. We will only do this if there is a good reason, for instance if: -

- you fail to pay to us any amount due or money requested on account;
- you are insolvent;
- a conflict of interest emerges;
- you break the law, regulation, rule or codes or request that we do so;
- the relationship of trust and confidence necessary between lawyer and client breaks down;
- you fail to give us adequate instructions; or
- you breach the Terms.

You will be liable only for fees arising and disbursements made or committed up to the date of termination, together with any fees or disbursements for services necessary in connection with the transfer of the matter to another adviser.

All our rights set out in the Terms shall continue to apply even if you or we terminate the agreement between us.

21. COMPLAINTS

If you have any problem with the services which you are unable to resolve with the individual dealing with the matter or the person managing our relationship with you, you should contact James Dickinson (whose details are on our website) without delay.

22. AMENDMENTS

We may amend the Terms from time to time without your consent.

We will publish the latest Terms (including any amendments) on our website at www.hamptonnelaw.com by way of public notice to all current and prospective clients. By the publication of the latest Terms on our website, you shall be deemed to have agreed the Terms and all amendments.

23. NON-WAIVER

Any failure by us to insist upon strict performance of any of the Terms, or any failure or delay by us to exercise any rights or remedies whether under the Terms and/or at law or otherwise, shall not be deemed a waiver of any of our rights to insist upon the strict performance of the Terms or of any of our rights or remedies as to any default under the Terms.

24. SEVERABILITY

If any of the Terms is found by any court of competent jurisdiction to be illegal, invalid or otherwise unenforceable then that provision shall, to the extent necessary, be severed and shall be ineffective but without affecting any other Term.

25. GOVERNING LAW AND JURISDICTION

The Terms are governed by and will be construed in accordance with Jersey law. Subject to clause 29 below (Arbitration), you and we irrevocably agree to submit to the jurisdiction of the courts of Jersey over any claim or issue arising under or in connection with the Terms and you and we waive any objection to proceedings being brought in those courts on the grounds of venue or on the grounds that such proceedings have been brought in an inappropriate forum.

26. ARBITRATION

In our sole discretion, any claim, dispute or controversy arising out of or in connection with the Terms may be referred to and finally resolved in Jersey by a single arbitrator under the LCIA Rules then in force, and those Rules are deemed to be incorporated into this clause. Judgment on the award(s) rendered by the arbitrator may be entered in any court of competent jurisdiction.

If you have initiated a court action at the time that the firm chooses to submit the matter to arbitration then you agree that such court action will be discontinued.